

Rotherham Schools' Forum

Venue: Rotherham Town Hall

Date: Friday, 4 October 2013

Time: 8.30 a.m.

A G E N D A

1. Apologies for absence.
2. Declarations of Interest.
3. Financial items. (Pages 1 - 7)
 - DfE Consultation on School and Early Years' Finance;
 - School Funding Reform: Findings from the Review of 2013-14 Arrangements and Changes for 2014-15;
 - Ramifications of the increase of academies for 2014-15.
4. Traded Services. (Pages 8 - 28)
 - Behaviour Support Service – update to follow;
 - Get Real Team – update to follow;
 - Outdoor Education - attached;
 - School Effectiveness Service - attached.
5. Pupil Growth Fund. (Pages 29 - 33)
6. Date and time of the next meeting: -
 - Friday 29th November, 2013;
 - Friday 31st January, 2014;
 - Friday 7th March, 2014;
 - Friday 11th April, 2014;
 - Friday 27th June, 2014.

All to start at 8.30 a.m.. Venue to be confirmed.

ROTHERHAM BOROUGH COUNCIL – REPORT

1	Meeting:	Rotherham Schools' Forum
2	Date:	4th October, 2013
3	Title:	DfE Consultation On The School And Early Years Finance Regulations 2013 And Additional Grant Conditions For The Dedicated Schools Grant
4	Directorate :	Resources – Financial services

5 Summary

On the 4 June 2013 the Department for Education (DfE) announced a number of changes to the school funding system in 2014-15. They are now consulting on regulations to give effect to those changes, as well as some additional adjustments to the arrangements for schools and early years finance.

6 Recommendations

That Schools Forum notes the proposed changes to regulations and conditions of grant for 2014 and agrees a response to the consultation as appropriate.

7 Proposals and Details

- 7.1.1 A summary of the Government's proposed changes to regulations and conditions of grant for 2014-15 is attached at Appendix A to this report. The regulations in the most part reflect the decisions announced in the School Funding Reform documentation announced in June but the Government are also proposing changes that they have not already been announced. These are shown in italics within the summary of changes attached **at Appendix A.**
- 7.1.2 The consultation period runs from 1 August 2013 through to 11 October 2013. The Government's intention is for the regulations to come into force by 1 January 2014.
- 7.1.3 **Appendix B** summarises the impact on funding for Rotherham schools and the decisions for consideration which may influence the response

8. Finance

Finance details are included in section 7 above.

9. Risks and Uncertainties

The impact of the changes on the funding for Rotherham schools will be minimal. Decisions around the application of the formula factors for 2014/15 needs to be considered by Schools Forum. This is presented in a separate report.

10. Policy and Performance Agenda Implications

There are no specific policy and performance implications as a result of these changes.

11. Background Papers and Consultation

**DfE Consultation On The School And Early Years Finance Regulations 2013
And Additional Grant Conditions For The Dedicated Schools Grant**

Contact Name:

Joanne Robertson, Financial Services - Finance Manager (Children and Young People's Services), ext: 22041, email: joanne.robertson@rotherham.gov.uk

Vera Njegic, Principal Finance Officer – (Children and Young People's Services), ext 22042, email: vera.njegic@rotherham.gov.uk

Summary of Proposed Changes to School and Early Years Financial Regulations and Conditions of Grant for 2014/15 Financial Year

	Implications for Rotherham	Proposed Response	Rotherham Schools Forum Agreed Response (to be determined)
<p>Regulation 3 includes an amendment to the Order that transfers responsibility for school meals to schools to make it clear that this transfer applies to schools whether or not the local authority provides a specific amount of funding to the school for lunches. This is a clarification of existing policy. Schools already fund lunches from their mainstream budgets rather than a specific grant.</p>	No impact – existing policy is clarified	Support proposed change to regulation	
<p>Regulation 6 and regulation 12 require local authorities to make an initial determination of their 2014-15 schools budget, individual schools budget and the amount of each school’s budget share by 28th February 2014 (except in relation to special schools, pupil referral units, etc). In the previous regulations the date for doing so was 15th March. <i>The date for informing schools of their budget shares also moves from 15th March to 28th February.</i></p> <p>Another change is that the determination of amounts included in budget shares in relation to sixth form funding is excluded from these determinations. Sixth form funding calculations will have to be done within a reasonable period after the Secretary of State has informed the authority of the allocation of Sixth Form Grant. Under regulation 12(7) the deadline for informing special schools and pupil referral units of their budgets moves from 15th March to 31st March.</p>	Agree new timetable for notifying schools and PRU’s of their budget share	Support proposed change to regulation	
<p>Regulation 9(7) requires local authorities to put any unspent money from the 2013-14 growth and infant class size funds into the 2014-15 individual schools budget, so that it is recycled to schools.</p>	Current year allocation of £350k has been fully allocated	Agreed – future years allocations	
<p>Regulation 9(8) allows local authorities to carry over to 2014-15 unspent de-delegated central expenditure to be used for the same purpose as it was used in 2013-14. In other words, it can be used for de-delegated services without having to allocate through the formula again. This responds to representations that money that maintained schools de-delegate should continue to be available for the use of maintained schools.</p>	Current year allocations have been fully allocated	Agreed – future years allocations	

Summary of Proposed Changes to School and Early Years Financial Regulations and Conditions of Grant for 2014/15 Financial Year

Proposed Change	Implications for Rotherham	Proposed Response	Rotherham Schools Forum Agreed Response (to be determined)
<p>Regulation 12(8) prevents local authorities from redetermining a school's or early years provider's 2014-15 budget once it has been set, except in specific circumstances. This provision was introduced for 2013-14 but there has been some confusion about it so we are clarifying the position.</p>	<p>Early years funding redetermination with reference to changes in pupil numbers is not affected by this proposal.</p>	<p>Support proposal</p>	
<p>The definition of "pupils" for regulation 14 and elsewhere (other than regulation 17 on early years) has been amended to clarify that pupils only count if they are single registered or dual main registered at the school.</p>	<p>Current allocations based on pupil numbers already reflect this – i.e. double funding is avoided</p>	<p>Support proposal</p>	
<p>Regulation 15 has been amended to ensure that, for maintained special schools, the separate calculation of funding for sixth form places ceases with effect from 1st August 2014, and all places (including sixth form places) other than hospital education places will then attract £10,000 per annum.</p>	<p>Pre and post 16 places at special schools will be funded on the same basis @£10k per place</p>	<p>Support proposal</p>	
<p>Regulation 17 (regulation 16 in the previous School Finance Regulations) has been expanded to include exempt early education providers. This reflects the revised Early Education and Childcare: Statutory Guidance to Local Authorities, which comes into effect in September 2013. Section A4 of this guidance makes clear that LAs should fund providers who have exemptions from the Early Years Foundation Stage Learning and Development requirements if a parent wants their child to attend that provider. The change to the draft finance regulations allows this funding to count as part of the individual schools budget.</p>	<p>RMBC currently have no exempt early years providers</p>	<p>Support Proposal</p>	

Summary of Proposed Changes to School and Early Years Financial Regulations and Conditions of Grant for 2014/15 Financial Year

Proposed Change	Implications for Rotherham	Proposed Response	Rotherham Schools Forum Agreed Response (to be determined)
<p>Regulation 17(6) enables LAs to vary funding paid to providers of funded early education if the number of children admitted by a provider is in excess of any number agreed by the LA. This provision, by potentially reducing the funding paid for some children, risks constraining parental choice about where to access their funded early education. We are minded to remove this provision, which we have placed in square brackets, but would welcome views on whether this provision is still needed and appropriate.</p>	<p>Where a provision admits pupils above the agreed number it is now a requirement that they are funded.</p>	<p>Support proposal</p>	
<p>Regulation 19(7) excludes schools that opened in the previous seven financial years and are still adding year groups from the capping of budgets under regulation 19(4) to pay for the minimum funding guarantee. This is because the capping of budgets for schools in such circumstances on a per pupil basis can produce distorting effects.</p>	<p>Capping the funding for individual schools at a % increase enables the 1.5% guarantee to be paid to those schools who have a reduction in funding compared to the previous year. If funding for schools with additional year groups was capped those schools would not receive a budget share relevant to their current year pupil number.</p>	<p>Support proposal</p>	
<p>Regulation 25(8) provides that the sum to be determined for an excluded pupil in a sixth form is £4,000 on an annual basis. This sum has been brought into line with the base funding for a full-time pupil in the academic year 2013/14.</p>	<p>£4,000 is the amount for the full funding period attributable to a primary or secondary pupil – now to apply to sixth form pupils</p>	<p>Support proposal</p>	

Summary of Proposed Changes to School and Early Years Financial Regulations and Conditions of Grant for 2014/15 Financial Year

Proposed Change	Implications for Rotherham	Proposed Response	Rotherham Schools Forum Agreed Response (to be determined)
<p>Schedule 2, paragraph 11: the previous provision allowing local authorities to retain funding for CRC allowances for schools centrally has been deleted since schools will be excluded from the CRC scheme with effect from 1 April 2014. It has been replaced with a provision allowing authorities to retain funding for licences purchased centrally by the Secretary of State, following the introduction of the new Copyright Licensing Agency and Music Publishers Association licences in 2013-14.</p>	<p>The budget currently retained for CRC's will no longer be required to be allocated from 2015/16 onwards.</p>	<p>Support proposal</p>	



Department
for Education

*Chief Finance Officers,
Finance Officers,
Chairs and Clerks of Schools Forums
National Organisations*

1 August 2013

Dear colleagues,

Consultation on the School and Early Years Finance Regulations 2013 and additional grant conditions for the Dedicated Schools Grant

On 4 June we announced a number of changes to the school funding system in 2014-15. We are now consulting on regulations to give effect to those changes, as well as some additional adjustments to the arrangements for schools and early years finance.

The draft School and Early Years Finance (England) Regulations 2013 are attached, together with additional grant conditions for the Dedicated Schools Grant (DSG). The regulations for the most part reflect the decisions announced in June. These are included in the attached summary of changes. The summary also shows where we are proposing changes that we have not already announced. It would be particularly helpful to have views on these proposals.

The consultation period will run through to 11 October 2013. Our intention is for the regulations to come into force by 1 January 2014. Could you send your comments to fundingpolicy.consultation@education.gsi.gov.uk by 11 October please?

Yours sincerely,

Kit McHenry

Funding Policy Unit, Department for Education

Document is Restricted

Document is Restricted

Document is Restricted

Document is Restricted

Document is Restricted

Document is Restricted

Document is Restricted

ROTHERHAM BOROUGH COUNCIL – REPORT

1.	Meeting:	Rotherham Schools' Forum
2.	Date:	4th October, 2013
3.	Title:	School expansions: transitional funding
4.	Directorate:	Children and Young People's Services

5. Summary

Pupil numbers are increasing within the Borough creating a shortage of available places in certain areas. This increasing pressure on school places means it is necessary to increase the number of school places available to meet current and projected demand. This report outlines the transitional funding required to support the creation of additional school places in the Borough.

6. Recommendation:

- **It is recommended that the allocations specified be approved and funded from the Pupil Growth element within the Schools Block. A further report will be submitted in due course to confirm requests for transitional funding for 15/16 and beyond once the outcome of future proposals and consultations are known.**

7. Proposals and Details

Pupil numbers are increasing in the Borough and there is increasing pressure on school places, particularly in Key Stages 1 and 2, making it necessary to increase the number of primary school places available in certain areas of the Borough. The impact on children and families can be significant where siblings are separated across more than one school; parents are reluctant to take up offers of a school place due to logistical and financial constraints around travel; and a potentially negative impact on one or more children's attendance, participation and achievement of wider educational outcomes.

For the financial year 2013-2014, Schools Forum has agreed a Pupil Growth Fund allocation of £350,000 within the Schools Block. A formula agreement has been reached with Schools Forum to ensure that requests for bridging funds are transparent and fair as follows:

Where additional provision creates the need for a teacher the agreed formula at current costs for a September to March period is:

- 7/12 of an M6 Teacher - £31,552 = (£18,405)
- 7/12 of a Band D TA - £15,725 = (£9,173) – (£6,436) Based on 30 hours per week term time only.
- 7/12 of a Level 3 SMSA - £12,787= (£7,459) – (£1,090) Based on 6hrs.15mins per week term time only.
- Plus £252 x the number of additional pupils anticipated
- Plus a fixed amount of £3000.

A provisional allocation of £350k has been identified for 2014/15 and a further paper will be submitted in due course to consider if this is sufficient to meet the uncertainty around continued mobility within and into the borough.

Confirmed School expansions for the 2014/15 Academic Year and projected 7/12 funding requirements for additional staffing support:

Aston Hall J & I – 1st Junior year – 1 teaching resource required. (£32,711based on 15 pupils)

Broom Valley Primary – 1 teaching resource required. (£36,491based on 30 additional pupils FS2)

Listerdale J & I – 1 teaching resource required. (£32,711based on 15 pupils in Y1)

Wath C of E J & I – 1 teaching resource required. (£32,711based on 15 pupils in Y1)

Herringthorpe Junior – 1 teaching resource required. (£32,711 based on 15 pupils CFWD from previous agreement to stay in budget)

Wales Primary – 1 teaching resource required. (£32,711 based on 15 pupils in FS2)

Brampton Ellis Junior – 1 teaching resource required. (£32,711 based on 15 additional pupils in KS2)

Thurcroft Infant – 1 teaching resource required. (£32,711 based on 15 pupils in FS2)

Treeton C of E J & I – 1 teaching resource required. (£32,711 based on 15 pupils in KS2)

TOTAL COMMITTED FROM THE ABOVE = £298,179 using Schools' Forum formula

BALANCE OF BUDGET FOR 2014/15 AT PRESENT = £51,821

Given the increasing pressure in the Central area of the Borough, it is necessary to create additional Primary aged 'bulge' classes as an interim measure until the new Central Primary School is constructed for opening in September 2015. It is anticipated that a significant number of these pupils will then transfer to the new Central school.

Capital Projects Team is looking at numerous options to create new teaching and learning spaces. In the event that a suitable teaching and learning space can be secured this financial year a further report will be brought to Forum.

However, there is an urgent need to enrol the out of school pupils in to school immediately. Agreement has been reached with a number of Primary Headteachers to admit pupils over PAN and in KS1 class groupings over the infant class size legislation. The KS1 pupils will be included if they meet the conditions set out in the Admissions Code 2012 and fair access protocol and would be excepted pupils for the remainder of KS1.

It is anticipated that some schools may need to admit an average of 2 additional pupils per class grouping over numbers. Schools Forum is requested to consider an allocation to the schools affected in recognition of the exceptional circumstances and the need for additional Family liaison and induction at short notice.

Using the above agreed formula for a teacher, teaching assistant, SMSA and lump sum, a pro rata calculation has been made as follows:

£28,931 divided by 30 divided by 7 months x 6 months = £827 per pupil x number of pupils = + 252 per pupil from formula x No of pupils = Total

St Ann's J & I: (8 pupils) - Total = **£8,629**

Coleridge Primary: (5 pupils) – Total =	£5,395
Broom Valley Primary: (3 pupils) - Total =	£3,237
High greave: (2 pupils) – Total =	£2,158
Badsley Moor: (2 pupils) – Total =	£2,158
East Dene Primary: (4 pupils) – Total =	£4,316
TOTAL =	£25,893

It is anticipated that the pupils currently known to be out of school will be offered places and given an agreed start date of Wednesday 2nd October in order to generate funding for 2014/15 financial year.

2013-14 Financial Year Position:

It is a requirement that the above allocations (£25,893) are funded with effect from October 2013 (current financial year) this will result in a forecast outturn position for the current financial year of approx. £13k overspend against the £350k budget.

Forward Planning for 7/12 contributions for 2015/16 Academic Year (these are confirmed to date):

Brinsworth Howarth – possibly 1 teaching resource required (£32,711 based on 15 pupils)

Wath C of E – 1 teaching resource required (£32,711 based on 15 pupils)

Listerdale – 1 teaching resource required. (£32,711 based on 15 pupils)

Wales Primary – 1 teaching resource required. (£32,711 based on 15 pupils)

Total Current Estimated Funding Requirement for 2015/16 =£130,844

THESE WILL BE UPDATED IN DUE COURSE.

8. Finance

Where schools are expanded, it is necessary to provide interim financial support to bridge the gap between 1st September and 31st March. Funding is allocated to schools each financial year based upon numbers on roll on Census day in October of the preceding year. Additional pupils commencing at the start of a new academic year will not be on roll at that time in order to generate sufficient funding to meet additional staffing and other agreed expenditure.

9. Risks and Uncertainties

There are always risks and uncertainties when school place provision is considered since future pupil numbers are based on estimations. Over provision at one school could influence pupil numbers at other schools. Local Authorities are obliged, however, to provide sufficient places, promote diversity and increase parental preference.

10. Policy and Performance Agenda Implications

The major theme supported by the forward planning and provision of school places is 'to ensure that everyone has access to skills, knowledge and information to enable them to play their part in society'. The expansion of schools would enable more parents to access their first preference school for their child and, therefore, increase that performance indicator.

11. Background Papers and Consultation

Reports to the Cabinet Member for Children, Young People and Family Services in relation to, Annual Admissions Consultations, proposals to increase admission numbers temporarily, and to make prescribed alterations to schools.

12. Contact Name

Helen Barre, Service lead School Admissions, Organisation and SEN Assessment

In the first instance, please contact Dean Fenton on dean.fenton@rotherham.gov.uk

Telephone number: 01709 254821